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U.S. PTO  
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## UTILITY PATE APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.  
800381

## TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, John F. Wood, Jr., entitled Personal Financial Management System, Method And Program Using A Graphical Object-Oriented Programming Methodology, for a(n):

(X) Original Patent Application.

( ) Continuing Application (prior application not abandoned):

( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_ Filed on: \_\_\_\_\_.

( ) A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

(X) Specification; 38 Total Pages. (X) Drawing(s); 27 Total Sheets.

(X) Oath or Declaration:

(X) A Newly Executed Combined Declaration and Power of Attorney:

(X) Signed. ( ) Unsigned. ( ) Partially Signed.

( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.

( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

( ) Power of Attorney. (X) Return Receipt Postcard.

( ) Associate Power of Attorney. (X) A Check in the amount of \$582.00 for the Filing Fee.

( ) Preliminary Amendment. (X) Information Disclosure Statement and Form PTO-1449.

( ) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.

( ) A Certified Copy of Priority Documents (if foreign priority is claimed).

(X) Statement of Status as a Small Entity.

( ) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.

( ) Other: \_\_\_\_\_

## CLAIMS AS FILED

| FOR                                       | NO. FILED | NO. EXTRA | RATE    | FEE       |
|---|-----------|-----------|---------|-----------|
| Total Claims                              | 42        | 22        | \$9.00  | \$ 198.00 |
| Independent Claims                        | 4         | 1         | \$39.00 | \$ 39.00  |
| Multiple Dependent Claims (if applicable) |           |           |         | \$0.00    |
| Assignment Recording Fee                  |           |           |         | \$0.00    |
| Basic Filing Fee                          |           |           |         | \$345.00  |
| Total Filing Fee                          |           |           |         | \$582.00  |

Charge \$ \_\_\_\_\_ to Deposit Account \_\_\_\_\_ pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any fees required or credit any overpayment to this Deposit Account.

Respectfully submitted,

By: Douglas D. Russell  
Douglas D. Russell, Attorney of Record, Reg. No.40,152

Date: 10 February 2000

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I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Assistant Commissioner for Patents  
Box Patent Application  
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By: Tanya Wyche

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